

# Distinguished Financial Industry Certified Professionals 2010

Recognising Individuals who Embody Professional  
Achievements and Commitment to Excellence



*Aspire. Inspire.*



**Aspire. Inspire.**

Behind every FICP is a story of commitment to excellence.  
A story that begins as an individual aspiration.  
A story that has unfolded into an inspiration.

The Financial Industry Certified Professionals (FICP) are the epitome of professional stature and achievement. Once an aspiring practitioner like many, these professionals have dedicated years of commitment to professional excellence and competency to become the captains of our financial industry. They are now an inspiration to many and a testament that career passions and aspirations can be realised for those who have an unwavering commitment to competency development and professional integrity.

## FINANCIAL INDUSTRY COMPETENCY STANDARDS (FICS)

The Financial Industry Competency Standards (FICS) was launched in September 2005 to deepen the talent pool and attract first rate financial professionals to Singapore. Built on the tenets that a vibrant and dynamic financial centre depends on a vibrant and dynamic talent pool, the FICS provides an overarching framework that benchmarks the competency level of our financial services workforce against international standards. The FICS framework was developed with the input of hundreds of industry leaders and leading practitioners from almost one hundred financial institutions, as well as industry associations and training providers. It marks a significant collaboration among the industry, training providers and the Government to produce a breed of high-calibre financial services professionals who can manage the increasingly complex and sophisticated demands of the industry.



The Financial Industry Certified Professional (FICP) title is the highest certification mark for a financial practitioner in Singapore under the FICS framework. Each of the distinguished individuals cited in this booklet are exemplary FICPs. They embody professional competence and commitment to excellence, and serve as a beacon of excellence for our financial services industry. They were assessed on their ability to apply critical knowledge and skills to handle various situations and challenges typically faced by senior executives with significant bottom-line and strategic responsibilities. The three-dimensional assessment criteria cover the specialist knowledge, skills and attributes required in decision making, risk management and tactical and strategic management in the chosen 'job family' of certification.

FICPs will be recognised by the industry to have:

- achieved internationally-benchmarked competency standards of the chosen financial services 'job family';
- demonstrated the ability to apply expert levels of knowledge and skills on the job in accordance with industry best practices; and
- agreed to act as role models for other industry practitioners and aspirants and support the professional development efforts of the FICS framework.

## FINANCIAL INDUSTRY CERTIFIED PROFESSIONALS (FICP)

## DISTINGUISHED FICP ROLL

### COMPLIANCE

#### Anti-Money Laundering

**Ms Malkit G Singh**

Director, Complex Institutions  
Department

*Monetary Authority of  
Singapore*

#### Consumer Banking

**Ms Goh Siew Lian**

Country Compliance and  
Control Officer  
*Citi Singapore*

#### Fund Management

**Ms Gwee Siew Ping**

Regional Head of Compliance  
& Risk, Asia Pacific  
*Schroder Investment  
Management (Singapore) Ltd*

#### Wealth Management

**Mrs Yvette Cheak**

Country Head of Ethics &  
Compliance Singapore & SEA  
Regional Head of Wealth  
Management Compliance  
*BNP Paribas*

### CORPORATE BANKING

#### Credit Analysis & Approval

**Mr Ray Ferguson**

Regional Chief Executive  
Officer

Singapore and South East Asia  
*Standard Chartered Bank*

#### Project Financing

**Mr Conor McCooile**

Managing Director  
Head of Project & Export  
Finance, Asia  
*Standard Chartered Bank*

#### Relationship Management – Enterprise Banking

**Mr David Conner**

Chief Executive Officer and  
Director  
*Oversea-Chinese Banking  
Corporation Limited*

**Mr Eric Tham Kah Jin**

Executive Vice President &  
Head  
Group Commercial Banking  
*United Overseas Bank Limited*

**Mr Piyush Gupta**

Group Chief Executive Officer  
*DBS Bank Ltd*

**Mr Wee Ee Cheong**

Deputy Chairman & Chief  
Executive Officer  
*United Overseas Bank Limited*

#### Relationship Management – Wholesale Banking

**Mr Christopher Hurd**

Head of Global Banking  
*The Hongkong and Shanghai  
Banking Corporation Limited*

**Ms Jeanette Wong**

Group Executive  
Institutional Banking Group  
*DBS Bank*

**Mr Samuel Tsien**

Senior Executive Vice President  
Global Head,  
Global Corporate Bank  
*Oversea-Chinese Banking  
Corporation Limited*

#### Structured Financing Advisory

**Mr Anand Kumar**

Managing Director  
Origination & Client Coverage  
*Standard Chartered Bank*

### CORPORATE FINANCE

#### Origination, Structuring & Advisory

**Mr Gerald Ong Chong Keng**

Chief Executive Officer  
*PrimePartners Corporate  
Finance Pte Ltd*

### FINANCIAL MARKETS

#### Asset Securitisation

**Mr Terence Ong Sea Eng**

Senior Executive Vice  
President,  
Global Markets & Investment  
Management  
*United Overseas Bank Limited*

#### Debt Origination & Syndication

**Mr George Lee**

Executive Vice President  
Group Investment Banking  
*Oversea-Chinese Banking  
Corporation Limited*

#### Trading

**Mr David Dredge**

Managing Director  
*Artradis Fund Management  
Pte Ltd*

**Mr Remy Klammers**

Managing Director  
Global Head of Fixed Income,  
Currencies & Commodities  
*Standard Chartered Bank*

**Mr David Lynne**

Managing Director  
Head of Global Rates and  
Commodities, Asia  
*Deutsche Bank AG*

**Mr David Wong**

Deputy Chief Executive  
*Bank of China (Hong Kong) Ltd*

#### Trading and Treasury Sales

**Mr Lam Kun Kin**

Executive Vice President  
Head of Global Treasury  
*Oversea-Chinese Banking  
Corporation Limited*

**Mr Loh Boon Chye**

Managing Director  
Head of Global Markets, Asia  
*Deutsche Bank AG*

### FUND MANAGEMENT

#### Business Development – Institutional

**Mr Hugh Young**

Managing Director  
*Aberdeen Asset Management  
Asia Ltd*

#### Business Development – Retail

**Mr Daniel Chan Choong Seng**

Chief Executive Officer  
*Lion Global Investors Ltd*

#### Performance Measurement

**Mr Trevor Persaud**

Director, Head of Investment  
Risk Oversight and Performance  
Analysis  
*Prudential Asset Management  
(Singapore) Ltd*

## DISTINGUISHED FICP ROLL (continued)

### Portfolio Management

**Mr Peter Douglas**  
Principal  
*GFIA Pte Ltd*

**Mrs Goh Mui Hong**  
President & Chief Executive Officer  
*ST Asset Management Ltd*

**Mr Quah Wee Ghee**  
Managing Director & President  
*GIC Asset Management Pte Ltd*

### Product Development

**Mr Lester Gray**  
Chief Executive Officer, Asia Pacific  
*Schroder Investment Management (Singapore) Ltd*

### GENERAL INSURANCE

**Underwriting**  
**Dr Michael Goodwin**  
Chief Executive Officer – Asia Pacific  
*QBE Insurance (International) Limited*

**Ms Stella Tan Yian Hua**  
Chief Executive Officer  
*Tenet Insurance Company Ltd*

### LIFE INSURANCE

**Claims Handling**  
**Mr Darren Thomson**  
Regional Head, Strategic Channel Development  
*Manulife (Singapore) Pte Ltd*

**Underwriting**  
**Mr Paul Martin Arrowsmith**  
Deputy Regional Head of Insurance  
*HSBC Insurance (Asia-Pacific) Holdings Limited*

**Mr Colin Pakshong**  
Consultant

**Mr Tan Hak Leh**  
Chief Executive Officer, Singapore  
*Great Eastern Life Assurance Company Limited*

### RISK MANAGEMENT

**Asset Liability Management – Banking**

**Mr Tham Ming Soong**  
Executive Vice President  
Group Head, Risk Management  
*United Overseas Bank Limited*

**Credit Risk Management – Corporate Banking**

**Mr Gilbert Kohnke**  
Head, Group Risk Management, Chief Risk Officer & Executive Vice President  
*Overseas-Chinese Banking Corporation Limited*

**Mr Bob Mainprize**  
Head of Risk, Asia  
*Global Financial Risk Management Barclays Capital*

**Market Risk Management**

**Mr Shaji Chandrasenan**  
Director, Financial Risk, Specialist Risk  
Supervision Division  
*Monetary Authority of Singapore*

**Mrs Chng Sok Hui**  
Chief Financial Officer  
*DBS Bank Ltd*

**Mr Vincent Choo Nyen Fui**  
Managing Director  
Head of Market Risk Management, Asia Pacific  
*Deutsche Bank AG*

**Mr Gopalan Vedartham**  
Managing Director  
Head of Market Risk, Asia Pacific  
*Barclays Capital*

**SECURITIES & FUTURES**  
**Stockbroking**

**Mr Loh Hoon Sun**  
Managing Director  
*Phillip Securities Pte Ltd*

**WEALTH MANAGEMENT**  
**Relationship Management – High Net Worth**

**Mr Pierre-F. Baer**  
Managing Director  
*Societe Generale Private Banking (Asia Pacific)*

**Mr Marcel Kreis**  
Managing Director  
Head of Private Banking Asia Pacific  
*Credit Suisse*

**Mrs Christine Ong**  
CEO, Wealth Management, Singapore  
*UBS AG*

**Mr Ravi Raju**  
Managing Director  
Regional Head of Private Wealth Management, Asia Pacific  
*Deutsche Bank AG*

**Mr Deepak Sharma**  
Chairman  
*Citi Private Bank*

**Mr Tee Fong Seng**  
Vice Chairman of Private Banking, Asia Pacific  
Head of Private Banking, Singapore Location  
*Credit Suisse*

**Relationship Management – Mass Affluent**

**Mr Jonathan Larsen**  
Head of Consumer Banking & Global Cards, Asia Pacific  
*Citibank NA, Hong Kong*

**Trust Administration**

**Mr Raymond Gwee**  
Executive Director  
Wealth Management Investment Products & Services  
Wealth Planning  
*UBS AG*  
Chief Executive Officer  
*UBS Trustees (Singapore) Ltd*



**MS**  
**GOH SIEW LIAN**

*Country Compliance and  
Control Officer*

*Citi Singapore*

**COMPLIANCE**  
**Consumer Banking**

Ms Goh Siew Lian is the Country Compliance and Control Officer (“CCCO”) for Citi Singapore. As CCCO, she has overall responsibility for all compliance and control related aspects for Citi’s businesses in Singapore. She assumed the CCCO role in February 2009.

Ms Goh joined Citi in 1986. Through the years, she has held various leadership roles in audit, compliance, control, credit risk management and account management for the onshore and offshore consumer banking businesses as well as the SME banking business in the Asia Pacific region.

Ms Goh retired as Director of Citibank Singapore Limited in June 2010, an appointment that she held since the incorporation of the subsidiary in Singapore in 2004. She remains as an Advisor to the Board of Citibank Singapore Limited.

She is also an Associate Director of the Credit Bureau (S) Pte Ltd. Before joining Citi, Ms Goh was an Assistant Audit Manager with Price Waterhouse. She graduated from the National University of Singapore with a Bachelor of Accountancy.

**CORPORATE BANKING**  
**Relationship Management (Enterprise Banking)**

Mr Conner was first appointed to the Board of OCBC Group on 15 April 2002 and last re-elected as a Director on 19 April 2007. He has extensive banking experience in the Asia Pacific region, having worked for over 25 years with Citibank N.A. where he served as Managing Director and Market Manager for Citibank Japan from 1999. He was also Chief Executive Officer of Citibank India from 1996 to 1999, and prior to that, was Country Corporate Officer for Citibank’s Singapore operations.

Mr Conner is presently Chairman of Bank of Singapore Ltd and Singapore Island Bank Ltd, and a member of the Corporate Governance Council of Monetary Authority of Singapore (MAS) and serves as a Director of several companies, including Great Eastern Holdings Ltd, OCBC Bank (Malaysia) Berhad, OCBC Al-Amin Bank Berhad and Lion Global Investors Ltd, as well as a Commissioner of PT Bank OCBC NISP Tbk.

Mr Conner holds a Bachelor of Arts from Washington University in St Louis and a Master of Business Administration from Columbia University.



**MR**  
**DAVID CONNER**

*Chief Executive Officer and  
Director*

*Oversea-Chinese Banking  
Corporation Limited*



**MR  
RAY FERGUSON**

*Regional Chief Executive Officer,  
Singapore and South East Asia  
Standard Chartered Bank*

### **CORPORATE BANKING Credit Analysis & Approval**

Mr Ray Ferguson was appointed Chief Executive of Standard Chartered Bank in Singapore in August 2009 in addition to his role as Regional Chief Executive, Southeast Asia, which he has held since December 2007. As CEO, Singapore, his key focus is the growth and governance of the Bank's operations in Singapore. He is also responsible for all of the Bank's businesses in Australia, Brunei, Indonesia, Malaysia, Mauritius, Philippines, Thailand and Vietnam. He also holds the following appointments :

- President Commissioner, PT Bank Permata TBK
- Chairman, Standard Chartered Bank (Mauritius) Limited
- Chairman, Standard Chartered Bank (Thai) PCL
- Deputy Chairman, Standard Chartered Bank Malaysia Berhad
- Non-Executive Director, Standard Chartered Saadiq Berhad
- Non-Executive Director, Standard Chartered Bank (Vietnam) Limited

Mr Ferguson is an experienced international banker with over twenty years of senior management experience. His two most recent roles with Standard Chartered were Chief Executive Officer of the Americas and Chief Executive Officer of the United Arab Emirates. His career with Standard Chartered Bank has taken him from the UK as a Senior Manager, UK branches in 1994 to Singapore for three years, where he managed Asia Pacific Cash Management Sales. In 1997 he became Head of Corporate Banking in the Philippines, and was appointed Chief Executive and Corporate & Institutional Bank Head of Taiwan in 1998. Ray was the Chief Executive and Corporate & Institutional Bank Head of Indonesia from 2000 to 2002, and subsequently appointed Chief Executive Officer, UAE in 2002. Mr Ferguson holds an MBA from Henley Management College and Brunel University, UK.

### **CORPORATE BANKING Relationship Management (Wholesale Banking)**

Ms Jeanette Wong is DBS' Group Executive responsible for the Institutional Banking Group which encompasses Specialized Corporate and Investment Banking, Enterprise Banking and Global Transaction Services. Ms Wong also oversees some of the international markets in the DBS Group's portfolio. Prior to her current appointment, she was the Chief Financial Officer for five years and was responsible for financial and management reporting of DBS Group and capital management. She also led the Group's financial initiatives, including capital fund raising, mergers and acquisitions.

Prior to joining DBS Bank, Ms Wong was at J.P. Morgan. Her last role was as Senior Country Officer of JP Morgan Singapore. In her 16 year career at J.P. Morgan, she had worked in the Global Markets and Emerging Markets business, and had held senior management positions with regional responsibilities in Foreign Exchange and Fixed Income.

Ms Wong is the Chairperson of the Singapore Management University (SMU) Lee Kong Chian Business School Advisory Board and is the Vice-Chairperson of the Asia Cabinet, Global Advisory Board for the University of Chicago Booth School of Business. The Monetary Authority of Singapore has appointed her as a Member of the Financial Research Council. She is a Director of DBS Bank (China) Limited and she also sits on the Board of Neptune Orient Lines Limited.

A Singaporean, Ms Wong graduated from the National University of Singapore with a Bachelor of Business Administration degree and holds a Master of Business Administration degree from the University of Chicago.



**MS  
JEANETTE WONG**

*Group Executive  
Institutional Banking Group  
DBS Bank Ltd*



**MR  
ERIC THAM  
KAH JIN**

*Executive Vice President & Head  
Group Commercial Banking  
United Overseas Bank Limited*

**CORPORATE BANKING  
Relationship Management (Enterprise Banking)**

Mr Eric Tham is the Executive Vice President and Group Head of Commercial Banking in United Overseas Bank Limited, the dominant market player in the SME business in Singapore.

With over 29 years of experience in the financial sector, Mr Tham currently oversees and drives Commercial Banking regionally. He is responsible for spearheading the Bank's expansion in the SME business in Singapore as well as Malaysia, Thailand, Indonesia and China. In addition, Mr Tham has been instrumental in the introduction of new and innovative products for SMEs, and in formulating and implementing strategic initiatives to maintain and reinforce UOB's market position for this segment of customers.

Mr Tham graduated from the University of Singapore with a Business degree, and obtained his MBA (Accounting) from Nanyang Technological University. He is also involved in the UOB-SMU Entrepreneurship Alliance; a private initiative involving UOB, Singapore Management University (SMU) and the community of local enterprises to foster entrepreneurship in Singapore.

**CORPORATE BANKING  
Relationship Management (Wholesale Banking)**

Mr Samuel Tsien is Global Head, Global Corporate Bank of Oversea-Chinese Banking Corporation Limited (OCBC Bank). In this capacity, he has global responsibilities for all corporate and commercial customer relationships ranging from large corporates and institutional clients, to medium and small enterprises in all countries, including Singapore. He also oversees the Global Financial Institutions and Transaction Banking divisions of the Bank. He is a member of OCBC Bank's Management Committee, a director of OCBC Bank (China) Limited and the President Commissioner of PT Bank OCBC Indonesia.

Prior to joining OCBC Bank in July 2007, Mr Tsien was President and Chief Executive Officer of China Construction Bank (Asia) Corporation, formerly known as Bank of America (Asia). He assumed this position in December 2006 when China Construction Bank Corporation acquired Bank of America (Asia). Prior to that, he was President and Chief Executive Officer of Bank of America (Asia), a position he had held since March 1995. Between 1992 and 1995, he was Head of Asia Credit Risk Management of Bank of America's then commercial and retail banking group, covering Hong Kong, Macau, the Philippines, India, Singapore and Taiwan. He was named Senior Vice President of Bank of America Corporation in 1993, and Executive Vice President in 1996.

Prior to moving to Singapore in July 2007, Mr Tsien was, by appointment of the Government of Hong Kong, a member of the Insurance Advisory Committee, a member of the Securities and Futures Appeals Tribunal, a member of the Banking Advisory Committee and a director of the board of Hong Kong Cyberport Management Company Ltd, a company wholly owned and established by the Government of Hong Kong to develop information technology infrastructure. He was also the Chairman of the Hong Kong Institute of Bankers.



**MR  
SAMUEL TSIEN**

*Senior Executive Vice President  
Global Head,  
Global Corporate Bank  
Oversea-Chinese Banking  
Corporation Limited*



**MR  
DAVID LYNNE**

*Managing Director,  
Head of Global Rates and  
Commodities, Asia*

*Deutsche Bank AG*

**FINANCIAL MARKETS  
Trading**

Mr David Lynne serves as Head of Global Rates and Commodities, Asia and is responsible for all fixed income and interest rate derivative product trading for Deutsche Bank's Global Markets Asian operation. Mr Lynne joined Deutsche Bank's Singapore branch in May 1998, following three years as Head of their European Fixed Income Options Trading operation, based in London.

Mr Lynne has over 16 years' experience in trading fixed income and derivatives markets, including a variety of fixed income and derivative trading roles with JP Morgan in both London and New York.

Mr Lynne currently serves as Co-Chair of the Asia Securities Industry and Financial Markets Association (ASIFMA) in Asia. He graduated from Imperial College, University of London in 1987 with a MEng in Chemical Engineering.

**FINANCIAL MARKETS  
Asset Securitisation**

Mr Terence Ong is the Senior Executive Vice President and Head of Global Markets & Investment Management (GMIM) at United Overseas Bank Limited.

Mr Ong joined the UOB Group since 1982 and throughout the 28 years with the bank, he headed the Government Securities & Bonds Department, Gold/Bullion & Futures Division and Foreign Exchange/Treasury Division. Currently as the Head of GMIM, he is responsible for the overall management, profitability and growth of the Bank's Global Treasury and Asset Management operations. He also serves as a member of several committees in UOB including Management Executive Committee, Investment Committee, Asset Liability Committee and Performance Management Committee.

Mr Ong currently sits on the boards of several UOB subsidiaries and affiliates, including United International Securities Ltd and UOB-OSK Asset Management Sdn Bhd. He is also the Chairman & CEO of UOB Asset Management Ltd and Chairman of UOB Bullion & Futures Ltd.

Prior to joining UOB, Mr Ong was the Deputy General Manager of the Board of Commissioners of Currency, Singapore. He had served as a Deputy Chairman of the SIMEX board and Member of The Singapore Foreign Exchange Market Committee.

Mr Ong holds a Bachelor of Accountancy degree from the then University of Singapore.



**MR  
TERENCE ONG  
SEA ENG**

*Senior Executive Vice President,  
Global Markets & Investment  
Management*

*United Overseas Bank Limited*



**MR  
QUAH WEE GHEE**

*Managing Director & President  
GIC Asset Management Pte Ltd*

**FUND MANAGEMENT  
Portfolio Management**

Mr Quah Wee Ghee is the Managing Director & President of GIC Asset Management Pte Ltd (GAM) since 2007. He is responsible for Global Equity, Global Fixed Income, Hedge Funds, Commodities and Treasury functions in GIC.

Mr Quah is a member of GIC Board, GIC Executive, Investment and Management Committee. He was Director of the Equities Department during the period of 2003 to 2010. He started his career in GIC as an investment officer and became Director of the Fixed Income Department in 1996. He was also tasked with setting up the Technology Department in 1998 and thereafter, appointed as Chairman of the IT Committee for GIC. Prior to joining GIC, Mr Quah worked in IBM after graduating from the National University of Singapore.

Outside of GIC, Mr Quah is a member of the SingHealth Foundation Board of Trustees as well as a member of the MOHH Investment Committee (Ministry of Health Holdings). He has just completed his 2nd term as Board member for the CPF Board as well as member for the CPF Investment Committee on 30 Jun 2010.

Before that, Mr Quah was a member of the Skill Development Fund Investment Committee (Ministry of Manpower) as well as WDA Investment Committee (Singapore Workforce Development Agency). He was also a member of the Board of Trustees for the Community Assistance Fund (Ministry of Community Developments & Sports).

Mr Quah holds a Bachelor of Eng (Civil Engineering) degree from the National University of Singapore. In addition, he is a Chartered Financial Analyst (CFA) from the then Association for Investment Management & Research (AIMR). He is also an alumni member of Stanford Graduate School of Business.

**FUND MANAGEMENT  
Performance Measurement**

Mr Trevor Persaud is Director, Head of Investment Risk Oversight and Performance Analysis at Prudential Asset Management in Singapore. Mr Persaud joined Prudential in December 2007 to implement an industry leading investment risk oversight and performance reporting and attribution framework across the Asian region. He has now developed and continues to manage a focused and robust process for investment and fund manager appraisal and oversight, risk management and performance analysis. His responsibilities also include establishing common methodologies and tools for risk management and performance review across regional business units.

With more than 17 years' experience in performance measurement, evaluation and risk, Mr Persaud was previously Head of Performance Analysis and Control at Insight Investment in the UK. In this position, he was responsible for successfully outsourcing operational functions including performance calculation to Northern Trust and managing the front office analytics function. Prior to his role at Insight Investment, Mr Persaud developed and launched the Hedge Fund Indices for MSCI and prior to that was Global Head of Performance and Risk Measurement for Schroders.

Throughout his career Mr Persaud has remained closely involved in the development of best practice in performance and risk and in particular the Global Investment Performance Standards (GIPS) and is Chair of the Investment Management Association of Singapore (IMAS), Performance and Risk Committee in Singapore and an advisor to the Executive Committee of IMAS. Mr Persaud is also Chair of the Asia Pacific Committee responsible for GIPS oversight in the region and a member of the Executive Committee of GIPS. He was previously an active member of the UK Investment Performance Committee (UKIPC), the body that oversees the standards in the UK.

Mr Persaud is a Chartered Management Accountant.



**MR  
TREVOR  
PERSAUD**

*Director, Head of Investment  
Risk Oversight  
and Performance Analysis  
Prudential Asset Management  
(Singapore) Ltd*



**MR  
GILBERT  
KOHNKE**

*Head, Group Risk Management,  
Chief Risk Officer & Executive  
Vice President*

*Oversea-Chinese Banking  
Corporation Limited*

**RISK MANAGEMENT  
Credit Risk Management (Corporate Banking)**

Mr Gilbert Kohnke was appointed Executive Vice President and Head of Group Risk Management in September 2005. As Chief Risk Officer, he covers full spectrum of risk, including Credit, Information Security, Liquidity, Market and Operational risk management. Jointly reporting to both the CEO and the Board Risk Committee of OCBC Group, he has been leading the change in redefining the risk management approaches used by the Bank in a Basel II world.

A Canadian citizen, Mr Kohnke has more than 21 years experience in the banking industry, initially in Canada, with the last 14 years working overseas in New York, London and Singapore. Prior to joining OCBC, he was Head of Risk Management for Asia at Canadian Imperial Bank of Commerce, and subsequently, Head of European Portfolio Management of CIBC based in London.

Mr Kohnke holds a BA in Economics from the University of Western Ontario, a Bachelor of Commerce in Accounting from the University of Windsor, Ontario and a Master of Business Administration from the University of Hawaii.

**RISK MANAGEMENT  
Market Risk Management**

Mr Vincent Choo is the Head of Market Risk Management, Asia Pacific, Deutsche Bank AG. He is a member of the Global Management team for market risks. He joined Deutsche Bank in 1999 from Bankers Trust Company, with responsibility for market risk management of traded and non-traded market risk exposures and monitoring of trading activities in Asia Pacific. He was also previously responsible for counterparty exposure management and traded credit products. Before taking up the market risk management role, he worked in the Product Controllers group at Bankers Trust Company as the Head of the Product Controllers team in Hong Kong.

Prior to joining Bankers Trust Company, Mr Choo worked at Chase Manhattan Bank in risk management audit and treasury sales at Standard Chartered Bank.

Mr Choo has a Master of Econometrics from the University of Akron. He is on the Regional Governance Board of Deutsche Bank, Asia, Co-Chair of Regional Risk Committee and Regional Reputational Risk Committee. Mr Choo is also sits on the Asia Pacific Equity Commitment Committee and is a member of the Market Risk Management Task Force of Association of Banks in Singapore (ABS).



**MR  
VINCENT CHOO**

*Managing Director of Market  
Risk Management, Asia Pacific  
Deutsche Bank AG*



**MRS  
CHRISTINE ONG**

*Chief Executive Officer,  
Wealth Management Singapore  
UBS Wealth Management*

**WEALTH MANAGEMENT  
Relationship Management (High Net Worth)**

Mrs Christine Ong is the Chief Executive Officer, Wealth Management Singapore in UBS Wealth Management.

Mrs Ong has held various senior management positions since joining UBS in 1992. Prior to her current role, she held senior management positions including Regional Market Manager for Taiwan, Country Head, UBS Singapore, and Head of Business Management and Market Strategy & Development, Asia Pacific. She also held positions in Wealth Management Operations, Credit Control and Risk Management.

Prior to joining UBS, Mrs Ong was the Vice President & Head of Consumer Credit Policy & Operations Department of Citibank. She started her career in the audit department of PriceWaterhouse and spent several years working with multi-national corporations.

Mrs Ong maintains an active involvement in leading charitable initiatives in the areas of education and community regeneration in the region. She is a member of the Board of Directors of the Centre for Asian Philanthropy, and actively mentors emerging leaders as a founding mentor of the Young Women Leadership Connection.

Mrs Ong graduated with a degree in Bachelor of Accountancy from the University of Singapore, and is married with three children.

**WEALTH MANAGEMENT  
Trust Administration**

Mr Raymond Gwee is an Executive Director, Investment Products & Services, Wealth Planning, UBS AG, and the Chief Executive Officer of UBS Trustees (Singapore) Ltd. He joined UBS in 1999 and has been the head of its trustee operations in Singapore since 2000.

Together with other key players in the industry, Mr Gwee has contributed significantly to the development of the trust services industry in Singapore. Apart from participation in the Financial Services Working Group of the Singapore Economic Review Committee in 2002, and he also provided significant input and recommendations in connection with the Trustees Act, the Trust Companies Act, the Income Tax (Amendment) (Exchange of Information) Act and related subsidiary legislation.

Mr Gwee is currently the President of the Singapore Trustees Association, and is a member of the Tax Advisory Committee, Ministry of Finance. He holds a Master's degree in International Business Law from the University of London and a Bachelor's degree in law from the National University of Singapore. He has practice experience as an Advocate & Solicitor of the Supreme Court of Singapore for over 15 years and is also a solicitor of the Supreme Court of England and Wales. He is a member of the Society of Trust & Estate Practitioners (STEP).



**MR  
RAYMOND GWEE**

*Executive Director  
Wealth Management Investment  
Products & Services  
Wealth Planning  
UBS AG*

*Chief Executive Officer  
UBS Trustees (Singapore) Ltd*



**MR  
PAUL MARTIN  
ARROWSMITH**

*Deputy Regional Head of  
Insurance*

*HSBC Insurance (Asia-Pacific)  
Holdings Limited*

**LIFE INSURANCE  
Underwriting (Life Insurance)**

Mr Paul Arrowsmith is Deputy Regional Head of Insurance, HSBC Insurance (Asia-Pacific) Holdings Limited.

Mr Arrowsmith oversees HSBC Insurance's operations in Singapore, India, Malaysia, Indonesia as well as its High Net Worth business in the region. Other regional responsibilities reporting to Mr Arrowsmith include Regional Business Development, Regional Risk, Regional Actuarial and Regional Product Development.

Since joining HSBC Insurance (Singapore) Pte. Limited as Chief Executive Officer in January 2007, Mr Arrowsmith has led various key business initiatives of its Bancassurance model; the expansion of its distribution platform and the growth of its Takaful insurance business. Under his stewardship, HSBC Insurance (Singapore) Pte. Limited was named 'Insurance Company of the Year' in 2009 by World Finance magazine.

Prior to his appointment in HSBC, Mr Arrowsmith was CEO and Country Manager of AXA Asia Pacific Holdings in Indonesia, where he is credited for boosting the business market and financial performance there.

Mr Arrowsmith has held chief executive and board member positions in several global insurance companies including Allianz Life Insurance Co Ltd. He brings with him over 25 years of experience in strategic insurance business management across Asia in countries such as New Zealand, Indonesia and Korea.

**ASSESSMENT  
MODEL**

The FICS certification is a formal recognition of the competence of financial practitioners. The certification assessment model for the Distinguished FICPs involves a process of competency-based interview where individuals provide annotated real-life examples of past projects and assignments to illustrate their competency and experience. Specific sets of questions developed by the FICS Lead Providers are used to ensure that the key assessment criteria in the FICS standards are addressed before an assessment report is prepared for each candidate. The final assessment outcomes are confirmed by a panel of assessors comprising senior practitioners and members of the academia in the respective industry segment.

**FICS LEAD PROVIDERS**

Financial Training Institute @ SMU  
International Compliance Association  
Risk Management Institute, NUS  
Singapore College of Insurance Ltd  
Wealth Management Institute

## ACKNOWLEDGEMENT

We would like to acknowledge our Lead Providers and the assessment panel they have consulted in the assessment process.

### **FTI@SMU CORPORATE BANKING**

Mr Peter Ho  
Director  
*Compass Matrix Pte Ltd*

### **FINANCIAL MARKETS**

Associate Professor Annie Koh  
Dean of Executive and  
Professional Education  
*Singapore Management  
University*

### **FUND MANAGEMENT**

Ms Tan Poh Hong  
Assessor Coach  
*Financial Training Institute  
@ SMU*

Mr Teo Mon Kean  
Head, Risk & Performance  
Management  
*Lion Capital Management Ltd*

### **ICA COMPLIANCE**

Mr Andrew Glover  
Managing Director  
*International Compliance  
Training (Singapore)*

Mr William Howarth  
Chief Executive  
*International Compliance  
Association*

### **RMI RISK MANAGEMENT**

Ms Chng Sok Hui  
Chief Financial Officer  
*DBS Bank Ltd*

Prof Duan Jin-Chuan  
Director  
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